## Algebraic groups vs Lie groups

Riley Moriss

May 25, 2025

1	Three Categories	1
<b>2</b>	Complex Groups	2
3	Real	2

There are general theorems by Nash and Tognelli on when smooth real manifolds are the real points of an algebraic variety (see my smoothness notes). They are highly restrictive however. I was thinking about Lie groups and their algebraic properties and was struck by the fact that they are a quite restrictive class of manifolds and realised that similar or better results are surely known for algebraic groups and Lie groups. This is the topic here. [Mil] is the main reference.

#### **1** Three Categories

Let  $k = \mathbb{R}$  or  $\mathbb{C}$ . First we have the category of real Lie groups with smooth maps, we also have the category of complex Lie groups with holomorphic maps.

A Lie group is linear if there exists a faithful representation. E.g. there is a faithful morphism in the relavant category to GL(V) considered as an object in the same category. A Lie group is reductive if every representation is semi-simple. It is not clear to what extent that is a standard definition.

Now we recall some basic algebraic things that I always forget. Denote the category of commutative k algebras by  $Alg_k$ , then a linear algebraic group (Milne calls them just algebraic groups) is a functor represented by a finitely generated k algebra

$$\operatorname{Alg}_k \to \operatorname{Group}$$
.

Note that linear algebraic groups are closed subschemes of  $GL_n$  and (Zariski) smooth. The equivalence of categories below is standard [Mil12, II.6, III.4],

LAG  $\leftrightarrow$  finitely generated commutative Hopf algebras/k

We denote the algebra representing a LAG G by  $\mathcal{O}(G)$ . Then G is connected if maxSpec $\mathcal{O}(G)$  is (Zariski) connected. G is reductive if the only commutative normal connected subgroups are tori. Note that these definitions are only valid because char(k) = 0.

There is a natural functor

$$L: LAG/k \to Lie \text{ Groups}/k$$

which, because LAG are smooth, can be considered as just taking the k points and giving them the subspace topology (some subtleties here, needing to fix an algebra isomorphism to something of the form  $k[x_i]/I$ , see my talk on reductive groups to make precise).

**Theorem.** This functor is not full, faithful or essentially surjective.

There exist non-connected LAG's on which this functor is not faithful. The map

$$\mathbb{C} \to \mathbb{C}^{\times}$$
$$x \mapsto e^x$$

does not come from a map  $\mathbb{G}_a \to \mathbb{G}_m$ , note however that  $\mathbb{G}_a$  is not reductive, this exhibits the failure of fullness. Essential surjective fails on many covering Lie groups.

This functor does have one nice property

**Theorem.** L preserves Lie algebras.

## 2 Complex Groups

**Theorem.** L defines an equivalence of categories between complex reductive Lie groups and reductive LAG defined over  $\mathbb{C}$ .

### 3 Real

**Theorem.** Given a real reductive Lie group G then there exists a LAG T(G) defined over  $\mathbb{R}$  and a morphism  $G \to LT(G)$  such that

- The assignment  $G \to T(G)$  is functorial.
- LT(G) is a quotient of G.
- The induced functor  $\operatorname{Rep}(G) \to \operatorname{Rep}(TG)$  is an equivalence.

The morphism  $G \to LT(G)$  is an iso iff G is linear. Note also that the algebraic group is not guaranteed to be reductive. This does not characterise the category of Lie groups however if all we are interested in is the *representation theory* of real Lie groups then this shows that it is sufficient to study real LAG's.

There is a complimentary result for compact and connected groups

**Theorem.** Given a compact connected real Lie group G there exists a semi-simple LAG T(G) such that LT(G) is isomorphic to G. Moreover if G' is a reductive LAG over  $\mathbb{C}$  then

$$\operatorname{Hom}(T(G)_{\mathbb{C}}, G') \cong \operatorname{Hom}(G, L_{\mathbb{C}}(G'))$$

where the subscript  $\mathbb{C}$  denotes the base change. Hom is in the category of complex LAG and real Lie groups respectively.

In particular this says that L is essentially surjective from semi-simple LAG's to connected and compact Lie groups, and that there is an adjunction

T: Connected Compact Lie Group/ $\mathbb{R} \to \text{Reductive LAG}/\mathbb{C}$ .

According to this post and these notes [Con, Appendix D, Thm D.2.4] this realises an equivalence of categories

connected  $\mathbb{R}$  anisotropic reductive  $\mathbb{R}$  groups  $\leftrightarrow$  compact connected Lie groups/ $\mathbb{R}$ 

# References

[Con] Brian Conrad. REDUCTIVE GROUP SCHEMES.

- [Mil] J S Milne. Lie Algebras, Algebraic Groups, and Lie Groups.
- [Mil12] J S Milne. Basic Theory of Affine Group Schemes. 2012.

Also useful is